

STATE OF UTAH
DEPARTMENT OF COMMERCE
DIVISION OF SECURITIESFORM 4-5B1A \$35,000
Corporate Indemnity Bond of Investment AdviserSTATE OF UTAH)
)
COUNTY OF _____) SS.KNOW ALL PERSONS BY THESE PRESENTS, THAT _____
(Investment Adviser)

of the County of _____, State of _____

as PRINCIPAL and _____, State of _____,
a surety corporation duly qualified to do business in the State of Utah, as surety, is held and
firmly bound unto the State of Utah and/or any person, firm or corporation interested, in the sum
of THIRTY-FIVE THOUSAND DOLLARS lawful money of the United States of America for the
payment whereof well and truly to be made, we do bind ourselves, our and each of our heirs,
assigns, executors and administrators, jointly and severally, firmly by these presents.The condition of this obligation is such, that whereas, the Utah Division of Securities of
the State of Utah, under the provisions of the Utah Uniform Securities Act, has registered the
said Principal herein named as an Investment Adviser in the State of Utah.AND WHEREAS, the said Investment Adviser is authorized by said Act to appoint
Representatives to represent and assist said Investment Adviser in rendering advice regarding
securities.NOW, THEREFORE, if the above bounden Principal herein named, shall truly report to the
Utah Division of Securities the names and addresses of all Investment Adviser Representatives
so appointed and employed by the Investment Adviser, and said Principal herein named as such
Investment Adviser shall well and truly comply with the provisions of the Utah Uniform
Securities Act, and then this obligation to be void, otherwise to remain in full force and effect.PROVIDED, ALWAYS, that nothing herein shall be construed to make the total
maximum liability hereunder of the above named Principal and/or surety more thanTHIRTY-FIVE THOUSAND DOLLARS regardless of the number of acts or omissions of the above
named Principal and/or its representatives.

PROVIDED, FURTHER, that before any person, firm or corporation shall have any right of action, or any right whatsoever, against the principal and/or the surety upon this bond, and before any such right of action, or any such right whatsoever shall exist or arise, such person, firm or corporation, within the period of two years after termination of this bond, must have given to the surety and the principal written notice of claim under this bond, and it is hereby stipulated and agreed that the giving of such notice of claim under this bond within said period of two years shall be a condition precedent to any right of action or right whatsoever against the principal and/or surety and the failure to give such notice as aforesaid shall render this obligation null and void and of no effect as to such person, firm or corporation.

PROVIDED, FURTHER, that either the Principal or the surety may cancel this bond as an entirety by giving sixty (60) days written notice to the Utah Division of Securities at Salt Lake City, Utah, and if cancelled by the surety, copy of said notice of cancellation shall be sent by registered mail to the Principal hereunder. Said notice to the Utah Division of Securities shall also be sent by registered mail. In case of such cancellation by either the Principal or the surety, no further obligation shall be incurred under this bond after the expiration of said sixty days, but the liability of the Principal and surety shall apply as above set out as to any acts or omissions which may have occurred prior to the effective date of such cancellation.

The period for which this bond shall remain in force and effect, unless previously cancelled as hereabove provided for, shall be from date hereof until December 31, 20, at the expiration of which time it shall ipso facto cease and terminate as to all future transactions only.

IN WITNESS WHEREOF, the said parties hereunto have set their hands and affixed their respective seals this _____ day of _____, 20_____.

(Signature of Principal)

By _____
(Title)

(Surety)

By _____
(Capacity of person signing)

(Seal)

Attach Statutory Affidavit in this space.

INTEGRITY SURETY BOND APPLICATION

AGENCY NAME: _____ AGENCY CONTACT _____
AGENCY PHONE: _____ AGENCY FAX: _____ E-MAIL: _____
AGENCY ADDRESS: _____
(Street) (City) (State) (Zip)

CURRENT OR EXPIRING QUOTE WE ARE LOOKING TO BEAT? _____

NAME OF PREVIOUS SURETY COMPANY WRITING THE BOND? _____

SECTION I: BOND APPLIED FOR:

TYPE OF BOND: _____ EFF.DATE: _____ EXP.DATE: _____
TYPE OF COMPANY CORP ☐ LLC ☐ DBA ☐ PARTNERSHIP ☐ AMOUNT: _____
OBLIGEE: _____
OBLIGEE ADDRESS: _____
(Street) (City) (State) (Zip)

SECTION II: GENERAL INFORMATION

APPLICANT'S NAME: _____ SPOUSE NAME _____
SS#: _____ SPOUSE SS# _____ HOME PHONE: _____
RESIDENTIAL ADDRESS: _____
(Street) (City) (State) (Zip)
BUSINESS NAME: _____
BUSINESS PHONE: _____ BUSINESS FAX: _____ Client E-mail _____
BUSINESS ADDRESS: _____
(Street) (City) (State) (Zip)
DATE BUSINESS BEGAN UNDER CURRENT NAME: _____ BUSINESS TAX ID: _____
HAS ANY COMPANY REFUSED TO ISSUE YES ☐ NO ☐ DO YOU HAVE ANY LIENS, CLAIMS, OR JUDGEMENTS YES ☐ NO ☐
BONDS FOR ANY PURPOSE? AGAINST YOU?
HAS APPLICANT EVER FAILED IN BUSINESS? YES ☐ NO ☐ HAS APPLICANT EVER FILED BANKRUPTCY? YES ☐ NO ☐

IF YES TO ANY, PLEASE EXPLAIN ON A SEPERATE SHEET OF PAPER:

SECTION III: ADDITIONAL OWNERS / PARTNERS

APPLICANT'S NAME: _____ SPOUSE NAME _____
SS#: _____ SPOUSE SS# _____ HOME PHONE: _____
RESIDENTIAL ADDRESS: _____
(Street) (City) (State) (Zip)

STATEMENT OF ASSETS & LIABILITIES AS OF _____

ASSETS		LIABILITIES	
CASH IN BANK	\$	NOTES PAYABLE TO BANKS	\$
CASH ON HAND	\$	NOTES PAYABLE TO OTHERS	\$
STOCKS & BONDS	\$	ACCOUNTS PAYABLE	\$
ACCOUNTS RECEIVABLE	\$	FEDERAL & STATE INCOME TAX DUE	\$
NOTES RECEIVABLE	\$	ALL OTHER TAXES	\$
INVENTORY	\$	ACCRUALS, PAYROLLS, ETC.	\$
CASH VALUE OF LIFE INSURANCE	\$	DUE ON EQUIPMENT	\$
EQUIPMENT	\$	DUE ON REAL ESTATE	\$
REAL ESTATE	\$	OTHER LIABILITIES	\$
OTHER ASSETS	\$	CAPITAL STOCK (IF A CORPORATION)	\$
		SURPLUS & UNDIVIDED PROFITS	\$
TOTAL ASSETS	\$	TOTAL LIABILITIES	\$
		NET WORTH	\$
NAME OF OWNERS	NAME & TITLE OF OFFICERS		PERCENTAGE OF OWNERSHIP

Completion of this form constitutes permission to obtain consumer information which will be used to determine bonding eligibility This information will be held in the strictest confidence no premium financing will be accepted as premium is earned in full.

Integrity Bonds Inc

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